

Applicant or Patentee:  
Serial or Patent No.:  
Filed or Issued:  
For:

Atty. Dkt. No.

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern identified below and that I am empowered to act on behalf of this concern:

NAME OF CONCERN: Hotflo Diecasting Pty Ltd  
ADDRESS OF CONCERN: 711 Clayton Road, Clayton South, Victoria 3169, Australia

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of all its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that all right title and interest have been conveyed under contract or law to and remain with the small business concern identified above with regard to the invention entitled:

**HOT SPRUE SYSTEM FOR DIECASTING**

By inventor: Graham Louis WILSON, of 14 Glenmer Street, Victoria, 3189, Australia

And as described in the specification of PCT International Application No. PCT/AU98/00475 filed on 18 June 1998 [Not amended under PCT Articles].

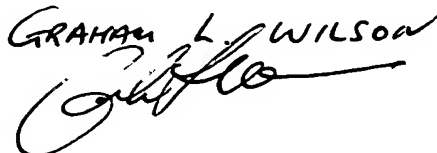
I hereby declare that the rights held by the above-identified small business concern are exclusive and that no other person or concern has rights thereto by contract, lien, promise, licence or otherwise.

I hereby acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate: (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Graham Louis WILSON  
TITLE OF PERSON SIGNING: Director  
ADDRESS OF PERSON SIGNING: 14 Glenmer Street. Moorabbin, Victoria, 3189, Australia

SIGNATURE:

*GRAHAM L. WILSON*  


DATE: 31 January 2002

Applicant or Patentee:  
Serial or Patent No.:  
Filed or Issued:  
For:

Atty. Dkt. No

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL  
ENTITY STATUS  
(37 CFR 1.9(f) AND 1.27(c)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

**HOT SPRUE SYSTEM FOR DIECASTING**

described in the specification filed with International Patent Application PCT/AU00/01002 on 24 August 2000 and not amended under PCT Articles.

Except as listed below, I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license any rights in the invention in the United States to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I hereby declare that I have assigned my entire, right and interest in and to the said invention to the small business concern identified below:

**FULL NAME OF SMALL BUSINESS CONCERN:**

Hotflo Diecasting Pty Ltd

**ADDRESS**

711 Clayton Road, Clayton South, Victoria, 3169, Australia

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate: (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**NAME OF INVENTOR:**

Graham Louis WILSON

**ADDRESS OF INVENTOR:**

14 Glenmer Street, Moorabbin, Victoria, 3898, Australia

**SIGNATURE OF INVENTOR**

GRAHAM L. WILSON



**DATE OF SIGNING:** 31 January 2002

## DECLARATION AND POWER OF ATTORNEY

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### HOT SPRUE SYSTEM FOR DIECASTING

The specification of which

- ☐ is attached hereto.  
☐ Was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and  
was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of the above identified application in accordance with Title 37, Code of Federal Regulations, section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### PRIOR FOREIGN APPLICATION(S)

PQ2907	AUSTRALIA	16/09/99	Priority Claim
Number)	(Country)	(Day/Month/year filed)	Yes

I hereby claim the benefit under Title 35, United States Code, section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status
		(Patented, Pending, Abandoned)

DECLARATION AND POWER OF ATTORNEY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint David W. Woodward, Reg. No.35,020, Jeffrey Kushan, Reg. No.43,401, Thomas T. Moga, Reg. No.34,881, Richard Wilder, Reg. No.31,202, David Fitzgerald, Reg. No.47,347 and Dennis Cawley, Reg. No.44,598, and each principal, attorney of counsel, associate and employee of Powell, Goldstein, Frazer & Murphy, LLP, who is a registered Patent Attorney, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Powell, Goldstein, Frazer & Murphy, LLP, P.O. Box 97233, Washington, D.C. 20090-7223, (202) 347-0066.

Full name of sole inventor: Graham Louis WILSON

Inventor's signature: GRAHAM L. WILSON

Date: 31 January 2002

Residence & Post Office Address: 14 Glenmer Street, Moorabbin, Victoria, 3189, Australia

Citizenship: Australian